Société Alzheimer Society NIAGARA REGION

ALZHEIMER SOCIETY NIAGARA FOUNDATION

Stocks & Securities Transfer Information Form

Guidelines and Instructions

Thank you for your interest in donating a gift of stocks or securities to the Alzheimer Society Niagara Foundation (ASNF). The ASNF is the fundraising arm of the Alzheimer Society of Niagara Region (ASNR) and is strongly committed to financially sustaining the service needs of the Society in ways that are positive, meaningful and engaging. The following information will help in processing your gift.

There are three easy steps in donating a gift of stocks or securities to the ASNF:

Step 1: Please complete all sections of the stocks/securities donation form and instruct your broker to transfer the stocks/securities electronically to the Alzheimer Society Niagara Foundation's broker.

For privacy reasons, your broker cannot disclose your name to us, so please complete the Donor Information section of the form to advise us of your plan to make a donation of stocks/securities. Your advisor/broker will provide us with your CUISP number, which serves as a transaction number in order to track your transfer.

ASNF Broker: RBC Dominion Securities Inc., Cooper Wealth Management
FINS # T002 DTC # 5002 CUID # DOMA
Account #: 376-12758-2-9-HE7 ALZHEIMER SOCIETY NIAGARA FOUNDATION

Cooper Wealth Management
400-63 Church Street
St. Catharines, ON L2R 3C4

Phone: 905-546-5252
Fax: 905-988-5889
Email: cooperwealth@rbc.com

Step 2: Return the completed Stocks & Securities Donation Form to the Alzheimer Society Niagara Foundation

This step is important because stocks/securities are transferred into the Alzheimer Society Niagara Foundation's investment account anonymously, without your name listed. Notifying ASNF will help ensure that you receive your official receipt for income tax purposes and that the ASNF is aware of your intention to donate stocks/securities.

Contact person: Teena Kindt, Chief Executive Officer

Alzheimer Society Niagara Foundation
403 Ontario Street, Unit #1
St. Catharines, ON L2N 1L5
Charitable Business #: 812717270RR0001

Phone: 905-687-6856 ext. 561
Fax: 905-687-9952
Email: tkindt@alzheimerniagara.ca

Step 3: Fax, deliver or send your completed form to your broker/investment advisor who is responsible for initiating the actual transfer of stocks/securities.

Stocks/securities are considered legally transferred only when the ASNF's custodial agent confirms delivery. Your charitable tax receipt will be valued based on the closing price on the day when the stocks/securities are legally transferred to the ASNF's custodial agent, RBC Dominion Securities Inc., Cooper Wealth Management.

Société Alzheimer Society NIAGARA REGION

ALZHEIMER SOCIETY NIAGARA FOUNDATION

Stocks/Securities Donation Form for Donors

(to be completed and returned by donor and donor's representative)

This form will provide the Alzheimer Society Niagara Foundation (ASNF) with the information necessary to issue a charitable tax receipt and will ensure that the ASNF is aware of your intention to donate stocks/securities. A copy of this completed form should be sent to the Alzheimer Society Niagara Foundation and to *your* broker.

☐ I would like my gift dedicated *In Memory* or *In Honour* of: _____

Donor Information:

Name: _____

Address: _____

City: _____ Province: _____ Postal Code: _____

Phone: _____ Mobile: _____ Email: _____

Broker Information:

Company Name: _____ Contact Name: _____

Address: _____

City: _____ Province: _____ Postal Code: _____

Phone: _____ Email: _____

Transfer Securities from my Account #: _____

Stocks/Securities to be Donated (your broker knows this information):

Security/Stock Name: _____

Number of Units: _____ CUSIP # : _____

Intended Date of Transfer: _____

Securities Donation Authorization (from Donor to Broker)

I authorize the Alzheimer Society Niagara Foundation, or its agent, to contact my broker for the purposes of concluding this transaction.

Donor Signature: _____ Date: _____

ASNF information and contact details for *your* broker:

ASNF Broker: RBC Dominion Securities Inc., Cooper Wealth Management
FINS # T002 DTC # 5002 CUID # DOMA
Account #: 376-12758-2-9-HE7 ALZHEIMER SOCIETY NIAGARA FOUNDATION

Cooper Wealth Management
400-63 Church Street
St. Catharines, ON L2R 3C4
Phone: 905-546-5252
Fax: 905-988-5889
Email: cooperwealth@rbc.com

Alzheimer Society Niagara Foundation
Contact Person: Teena Kindt, Chief Executive Officer
403 Ontario Street, Unit #1
St. Catharines, ON L2N 1L5
Charitable Business #: 812717270RR0001
Phone: 905-687-6856 ext. 561 Fax: 905-687-9952
Email: tkindt@alzheimerniagara.ca